

## Strong Converse Inequality for the Bernstein–Durrmeyer Operator

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An equivalence relation between the rate of approximation of Bernstein–Durrmeyer polynomials and an appropriate  $K$ -functional is established. The results are stronger than those known for Bernstein polynomials. The advantages of Bernstein–Durrmeyer polynomials, i.e., self-adjointness, commutativity, and simple expansion by orthogonal polynomials, are used extensively. © 1993 Academic Press, Inc.

### 1. INTRODUCTION

The Bernstein–Durrmeyer operator (see [10, 3]) is given by

$$M_n(f, x) = (n+1) \sum_{k=0}^n P_{n,k}(x) \int_0^1 P_{n,k}(y) f(y) dy, \quad (1.1)$$

where

$$P_{n,k}(x) = \binom{n}{k} x^k (1-x)^{n-k}.$$

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We prove a strong converse inequality of type A, in the terminology of [8], that is, we show

$$\|M_n f - f\|_p \sim \inf \left( \|f - g\|_p + \frac{1}{n} \|(\varphi^2 g')'\|_p \right) \quad (1.2)$$

for  $1 \leq p \leq \infty$  with  $\varphi(x)^2 = x(1-x)$ . For  $1 < p < \infty$ , we prove an analogue of (1.2) for the multivariate Bernstein–Durrmeyer operator introduced by Derriennic [4]. In the cases  $p = 1$  or  $p = \infty$  and the higher dimensional analogue of (1.1), we prove a somewhat weaker result (that is, a strong converse inequality of type B in the terminology of [8]). Several recent articles [1, 2, 6] proved (among other results) converse inequalities for these operators that are obviously weaker than those in the present paper.

## 2. NOTATIONS AND SURVEY OF THE PROOF

The Multivariate Bernstein–Durrmeyer operator was introduced by Derriennic [4] as

$$M_n(f, x) = \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} P_{n,\beta}(x) \int_T P_{n,\beta}(u) f(u) du, \quad (2.1)$$

where  $x, u \in R^d$  ( $x = (x_1, \dots, x_d)$ ),  $\beta = (k_1, \dots, k_d)$  with  $k_i$  integers, and  $T = \{u: 0 \leq u_i, \sum_{i=1}^d u_i \leq 1\}$ . The polynomial  $P_{n,\beta}(u)$  is given by

$$P_{n, k_1, \dots, k_d}(u_1, \dots, u_d) \equiv P_{n,\beta}(u) = \frac{n!}{\beta! (n - |\beta|)!} u^\beta (1 - |u|)^{n - |\beta|}, \quad (2.2)$$

where  $\beta! = k_1! \cdots k_d!$ ,  $u^\beta = u_1^{k_1} \cdots u_d^{k_d}$  ( $u_i^{k_i} = 1$  if  $k_i = u_i = 0$ ),  $|u| = \sum_{i=1}^d u_i$  and  $|\beta| = \sum_{i=1}^d k_i$ .

Many properties were proven about the operators  $M_n f$  which are quoted as we use them. We define, following Derriennic [6],

$$P(D) = \sum_{i=1}^d \frac{\partial}{\partial x_i} x_i (1 - |x|) \frac{\partial}{\partial x_i} + \sum_{i < j} \left( \frac{\partial}{\partial x_i} - \frac{\partial}{\partial x_j} \right) x_i x_j \left( \frac{\partial}{\partial x_i} - \frac{\partial}{\partial x_j} \right) \quad (2.3)$$

and recall that for  $f \in C^2(T)$ , it was proved in [5] that

$$n \{ M_n(f, x) - f(x) \} \rightarrow P(D) f(x). \quad (2.4)$$

The operator  $P(D)$  given by (2.3) and introduced in [6] may take other forms, as can be seen in [4, 2].

The main result of our paper is the equivalence

$$\|M_n f - f\|_p \sim \inf \left( \|f - g\|_p + \frac{1}{n} \|P(D) g\|_p \right), \quad (2.5)$$

which is proved in Theorem 6.3 for all  $d$  when  $1 < p < \infty$  and for  $d = 1, 2$ , and  $3$  when  $p = 1$  and  $p = \infty$ . For  $p = 1$  and  $p = \infty$  and  $d > 3$ , a weaker result than (2.5) is valid. The proof follows from a Bernstein-type inequality

$$\|P(D) M_n^2 f\|_p \leq dn \|f\|_p, \quad 1 \leq p \leq \infty \quad (2.6)$$

(Theorem 3.2), and an improved Voronovskaja-type result

$$\begin{aligned} & \left\| M_n f - f - \frac{\alpha_n(d)}{2} P(D)[M_n f + f] \right\|_p \\ & \leq \left( \frac{1}{4} \alpha_n(d)^2 + \frac{1}{2} \frac{1}{(n+1)^2} \right) \|P(D)^2 f\|_p \end{aligned} \quad (2.7)$$

(Theorem 4.1). It is the interplay between the exact constants in (2.6) and (2.7) that implies (2.5) for  $d = 1, 2$ , and  $3$ , and the estimate (2.6) depends on  $d$  ( $\alpha_n(d)$  is asymptotically independent of  $d$ ). For  $1 < p < \infty$  we use the  $L_2$  estimate

$$\|P(D) M_n^r f\|_2 \leq \frac{n}{\sqrt{r}} \|f\|_2 \quad (2.8)$$

(Theorem 5.1) and the Riesz–Thorin interpolation theorem to obtain

$$\|P(D) M_n^r f\|_p < \varepsilon(r) n \|f\|_p, \quad 1 < p < \infty, \quad (2.9)$$

with  $\varepsilon(r) = o(1)$  as  $r \rightarrow \infty$ . The inequality (2.9) together with (2.7) is sufficient to prove (2.5) for  $1 < p < \infty$  and all dimensions  $d$ . We conjecture that (2.9) and hence (2.5) is valid for  $p = 1$  and  $p = \infty$  in all dimensions (see Remark 6.4).

We note that for  $d = 1$ , when  $p = 1$  or  $p = \infty$  we cannot replace the  $K$ -functional on the right hand side of (2.5) with  $\omega_\varphi^2(f, t)_p$  (where  $\varphi^2 = x(1-x)$ ). This follows since the  $K$ -functional on the right hand side of (1.2) and  $\omega_\varphi^2(f, t)_p$  are not equivalent for  $p = 1$  and  $p = \infty$  while (1.2) holds. For  $1 < p < \infty$  the above expressions are equivalent. Hence, even for higher dimensions an equivalence result with an expression generalizing  $\omega_\varphi^2$  will falter for  $p = 1$  and  $p = \infty$ . We trust that an equivalence of sorts will be proved for  $1 < p < \infty$ , but that is beyond the scope of this paper and our knowledge. As an equivalence between the  $K$ -functional above and

$\omega_S^2(f, t)_p$  (see [9, Chap. 12]) is not true for all  $p$  and as the rate of convergence is equivalent to the above  $K$ -functional, it is that  $K$ -functional that is the appropriate measure for this paper.

### 3. ESTIMATE OF $\|P(D) M_n f\|_p$

It follows from Derriennic's research [6], detailed only for  $d=1$  and  $d=2$ , that

$$\|P(D)^r M_n f\|_p \leq C n^r \|f\|_p. \quad (3.1)$$

We need for  $r=1$  the following better estimate on the constant  $C$ .

**THEOREM 3.1.** *For  $f \in L_p(T)$ , where  $T$  is the  $d$ -dimensional simplex given in Section 2, and for  $P(D)$  given by (2.3), we have*

$$\|P(D) M_n f\|_p \leq 2 dn \|f\|_p. \quad (3.2)$$

*Proof.* First we show that it is sufficient to prove (3.2) for  $p = \infty$  (or  $p = 1$ ). Assume (3.2) for  $p = \infty$ . We take  $g \in C^2(T)$  and  $f \in L_1(T)$  and then use [2, Lemma 2.5]

$$P(D) M_n g = M_n P(D) g, \quad g \in C^2(T). \quad (3.3)$$

We recall from [4] the self-adjointness of  $M_n$  and  $P(D)$  with respect to the scalar product  $\langle f, g \rangle = \int_T f(u) g(u) du$  to obtain

$$\begin{aligned} |\langle P(D) M_n f, g \rangle| &= |\langle f, P(D) M_n g \rangle| \leq \|f\|_{L_1(T)} \|P(D) M_n g\|_{L_\infty(T)} \\ &\leq 2 dn \|f\|_{L_1(T)} \|g\|_{L_\infty(T)}. \end{aligned} \quad (3.4)$$

As (3.4) is valid for all  $g \in C^2(T)$ , we have (3.2) for  $p=1$ . The inequality (3.2) for  $p = \infty$  and  $p=1$  implies now (3.2) for  $1 < p < \infty$  via the Riesz-Thorin interpolation theorem.

We observe that

$$x_i(1 - |x|) \frac{\partial}{\partial x_i} P_{n, \beta}(x) = (k_i(1 - |x|) - (n - |\beta|) x_i) P_{n, \beta}(x), \quad (3.5)$$

and hence

$$\begin{aligned} \frac{\partial}{\partial x_i} x_i(1 - |x|) \frac{\partial}{\partial x_i} P_{n, \beta}(x) \\ = \frac{(k_i(1 - |x|) - (n - |\beta|) x_i)^2}{x_i(1 - |x|)} P_{n, \beta}(x) - (n - |\beta| + k_i) P_{n, \beta}(x). \end{aligned} \quad (3.6)$$

Similarly,

$$\begin{aligned} & \left(\frac{\partial}{\partial x_i} - \frac{\partial}{\partial x_j}\right) x_i x_j \left(\frac{\partial}{\partial x_i} - \frac{\partial}{\partial x_j}\right) P_{n, \beta}(x) \\ &= \frac{(k_i x_i - k_j x_j)^2}{x_i x_j} P_{n, \beta}(x) - (k_i + k_j) P_{n, \beta}(x). \end{aligned} \tag{3.7}$$

Recalling  $M_n(1, x) = 1$ , we have

$$\begin{aligned} 0 &= P(D) M_n(1, x) \\ &= \sum_{(\beta/n) \in T} \left( \left\{ \sum_{i=1}^d \frac{(k_i(1 - |x|) - (n - |\beta|) x_i)^2}{x_i(1 - |x|)} \right. \right. \\ &\quad \left. \left. + \sum_{i < j} \frac{(k_i x_j - k_j x_i)^2}{x_i x_j} \right\} - nd \right) P_{n, \beta}(x) \\ &\equiv \sum_{(\beta/n) \in T} (I_{n, \beta}(x) - nd) P_{n, \beta}(x), \end{aligned} \tag{3.8}$$

which implies

$$\sum_{(\beta/n) \in T} I_{n, \beta}(x) P_{n, \beta}(x) = nd \sum_{(\beta/n) \in T} P_{n, \beta}(x) = nd.$$

We now estimate

$$b_{n, \beta} \equiv \left| \frac{(n + d)!}{n!} \int_T f(x) P_{n, \beta}(x) dx \right| \leq \|f\|_{L_x(T)} \tag{3.9}$$

and use  $I_{n, \beta}(x) \geq 0$  to obtain

$$\begin{aligned} |P(D) M_n(f, x)| &\leq \sum_{(\beta/n) \in T} (I_{n, \beta}(x) + nd) P_{n, \beta}(x) \|f\|_{L_x(T)} \\ &\leq 2nd \|f\|_{L_x(T)}. \blacksquare \end{aligned}$$

We are also able to prove the following useful estimate.

**THEOREM 3.2.** *Under the assumptions of Theorem 3.1, we have*

$$\|P(D) M_n^2 f\|_p \leq dn \|f\|_p. \tag{3.10}$$

*Proof.* Following the proof of Theorem 3.1, we only have to consider  $p = \infty$ . We can write

$$\begin{aligned}
|P(D) M_n^2(f, x)| &= |M_n P(D) M_n(f, x)| \\
&\leq \left( \frac{(n+d)!}{n!} \right)^2 \sum_{(\gamma/n) \in T} P_{n,\gamma}(x) \\
&\quad \times \sum_{(\beta/n) \in T} \left| \int_T P_{n,\gamma}(u) P(D) P_{n,\beta}(u) du \right| \\
&\quad \times \int_T P_{n,\beta}(v) |f(v)| dv \\
&\leq \frac{(n+d)!}{n!} \|f\|_{L_x(T)} \sum_{(\gamma/n) \in T} P_{n,\gamma}(x) \\
&\quad \times \sum_{(\beta/n) \in T} \left| \int_T P_{n,\gamma}(u) P(D) P_{n,\beta}(u) du \right|.
\end{aligned}$$

We show

$$\frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \left| \int_T P_{n,\gamma}(u) P(D) P_{n,\beta}(u) du \right| \leq nd, \quad (3.11)$$

which implies (3.10) for  $p = \infty$  and hence for  $1 \leq p \leq \infty$ . To prove (3.11), we write

$$\begin{aligned}
J_{n,\gamma} &\equiv \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \left| \int_T P_{n,\gamma}(u) P(D) P_{n,\beta}(u) du \right| \\
&= \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \left| \sum_{i \leq j} \int_T (L_{i,j}(D) P_{n,\gamma}(u)) (L_{i,j}(D) P_{n,\beta}(u)) du \right|,
\end{aligned}$$

where

$$L_{i,i}(D) = \sqrt{u_i(1-|u|)} \frac{\partial}{\partial u_i}$$

and

$$L_{i,j}(D) = \sqrt{u_i u_j} \left( \frac{\partial}{\partial u_i} - \frac{\partial}{\partial u_j} \right) \quad \text{for } i \neq j. \quad (3.12)$$

The straightforward computation of  $L_{i,j}(D) P_{n,\eta}(u)$  (where  $\eta = \beta$  or  $\eta = \gamma$ ) leads now to

$$\begin{aligned}
J_{n,\gamma} &\leq \frac{(n+d)!}{n!} \\
&\quad \times \sum_{(\beta/n) \in T} \int_T \left\{ \sum_{i=1}^d \frac{|k_i(1-|u|) - (n-|\beta|)u_i| |l_i(1-|u|) - (n-|\gamma|)u_i|}{u_i(1-|u|)} \right. \\
&\quad \left. + \sum_{i < j} \frac{|k_i u_j - k_j u_i| |l_i u_j - l_j u_i|}{u_i u_j} \right\} P_{n,\gamma}(u) P_{n,\beta}(u) du.
\end{aligned}$$

Recalling  $I_{n,\eta}(u)$  (with  $\eta = \beta$  and  $\eta = \gamma$ ) given in (3.8), we use the Cauchy-Schwartz inequality to obtain

$$\begin{aligned} J_{n,\gamma} &\leq \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \int_T I_{n,\beta}(u)^{1/2} I_{n,\gamma}(u)^{1/2} P_{n,\gamma}(u) P_{n,\beta}(u) du \\ &\leq \left\{ \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \int_T I_{n,\beta}(u) P_{n,\gamma}(u) P_{n,\beta}(u) du \right\}^{1/2} \\ &\quad \times \left\{ \frac{(n+d)!}{n!} \sum_{(\beta/n) \in T} \int_T I_{n,\gamma}(u) P_{n,\gamma}(u) P_{n,\beta}(u) du \right\}^{1/2} \\ &\equiv J_{n,\gamma}^* \times J_{n,\gamma}^{**}. \end{aligned}$$

The estimate  $J_{n,\gamma}^* \leq (nd)^{1/2}$  follows from

$$\sum_{(\beta/n) \in T} I_{n,\beta}(u) P_{n,\beta}(u) = nd,$$

which follows from (3.8). To estimate  $J_{n,\gamma}^{**}$ , we write, using (3.5),

$$\begin{aligned} \int_T \frac{(l_i(1-|u|) - (n-|\gamma|)u_i)^2}{u_i(1-|u|)} P_{n,\gamma}(u) du &= \int_T (l_i(1-|u|) - (n-|\gamma|)u_i) \\ &\quad \times \frac{\partial}{\partial u_i} P_{n,\gamma}(u) du \\ &= \frac{n!}{(n+d)!} (n-|\gamma| + l_i) \end{aligned}$$

and

$$\int_T \frac{(l_i u_j - l_j u_i)^2}{u_i u_j} P_{n,\gamma}(u) du = \frac{n!}{(n+d)!} (l_i + l_j),$$

which implies  $J_{n,\gamma}^{**} \leq (nd)^{1/2}$ . ■

#### 4. VORONOVSKAJA-TYPE ESTIMATES

Derriennic [5] proved the Voronovskaja-type estimate (2.4). For the converse inequality of the present paper, we need the following stronger result.

**THEOREM 4.1.** *Suppose  $f \in C^4(T)$ ,  $M_n f$  is given by (2.1) and  $P(D)$  is given by (2.3). Then we have for  $n > 1$*

$$\begin{aligned} & \left\| M_n f - f - \frac{\alpha_n(d)}{2} P(D)[M_n f + f] \right\|_p \\ & \leq \left( \frac{1}{4} \alpha_n(d)^2 + \frac{1}{2} \frac{1}{(n+1)^3} \right) \|P(D)^2 f\|_p \end{aligned} \quad (4.1)$$

where

$$\alpha_n(d) \equiv \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} = \frac{1}{d} \left[ \frac{1}{n+1} + \cdots + \frac{1}{n+d} \right].$$

*Proof.* Using Corollary 2.4 of [2],

$$M_n f - f = \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} P(D) M_k f,$$

we write

$$\begin{aligned} I(n) & \equiv \left\| M_n f - f - \frac{\alpha_n(d)}{2} P(D)(f + M_n f) \right\| \\ & = \frac{1}{2} \left\| \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} P(D)(M_k f - f) \right. \\ & \quad \left. + \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} P(D)(M_k f - M_n f) \right\| \\ & = \frac{1}{2} \left\| \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} \sum_{j=k+1}^{\infty} \frac{1}{j(j+d)} P(D)^2 M_j f \right. \\ & \quad \left. - \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} \sum_{j=n+1}^k \frac{1}{j(j+d)} P(D)^2 M_j f \right\| \\ & = \frac{1}{2} \left\| \sum_{j=n+2}^{\infty} \frac{P(D)^2 M_j f}{j(j+d)} \sum_{k=n+1}^{j-1} \frac{1}{k(k+d)} \right. \\ & \quad \left. - \sum_{j=n+1}^{\infty} \frac{P(D)^2 M_j f}{j(j+d)} \sum_{k=j}^{\infty} \frac{1}{k(k+d)} \right\| \\ & \leq \frac{1}{2} \sup_j \|P(D)^2 M_j f\| \left| \sum_{j=n+1}^{\infty} \frac{1}{j(j+d)} \right| \left| \sum_{k=n+1}^{j-1} \frac{1}{k(k+d)} - \sum_{k=j}^{\infty} \frac{1}{k(k+d)} \right| \end{aligned}$$

(with the understanding  $\sum_{k=n+1}^n \cdots = 0$ ). Using Lemma 2.5 of [2], we have for  $f \in C^4(T)$

$$P(D)^2 M_j f = M_j P(D)^2 f,$$



and hence,

$$\|P(D)^2 M_j f\| \leq \|P(D)^2 f\|.$$

We now have

$$\begin{aligned} I(n) &\leq \frac{1}{2} \|P(D)^2 f\| \sum_{j=n+1}^{\infty} \frac{1}{j(j+d)} |\alpha_n(d) - 2\alpha_{j-1}(d)| \\ &\equiv \frac{1}{2} \|P(D)^2 f\| J(n). \end{aligned}$$

To estimate  $J(n)$ , we define  $j_0$  by

$$j_0 = \max\{j: 2\alpha_{j-1}(d) - \alpha_n(d) > 0\},$$

and as  $\alpha_j(d)$  is a decreasing sequence in  $j$ , we have

$$\begin{aligned} J(n) &= \sum_{j=n+1}^{j_0} \frac{1}{j(j+d)} (2\alpha_{j-1}(d) - \alpha_n(d)) + \sum_{j=j_0+1}^{\infty} \frac{1}{j(j+d)} (\alpha_n(d) - 2\alpha_{j-1}(d)) \\ &\equiv J_1(n) + J_2(n). \end{aligned}$$

To estimate  $J_1(n)$ , we write

$$\begin{aligned} J_1(n) &= \sum_{j=n+1}^{j_0} (\alpha_{j-1}(d) - \alpha_j(d))(\alpha_{j-1}(d) + \alpha_j(d)) \\ &\quad + \sum_{j=n+1}^{j_0} \frac{1}{j^2(j+d)^2} - \alpha_n(d)(\alpha_n(d) - \alpha_{j_0}(d)) \\ &\leq \alpha_n(d)^2 - \alpha_{j_0}(d)^2 - \frac{1}{2} \alpha_n(d)^2 + \frac{2/3}{(n+1)^3} \end{aligned}$$

as the definition of  $j_0$  implies  $\alpha_n(d) - \alpha_{j_0}(d) \geq \frac{1}{2}\alpha_n(d)$  and

$$\sum_{j=n+1}^{j_0} \frac{1}{j^2(j+d)^2} \leq \sum_{j=n+1}^{\infty} \frac{1}{j^2(j+d)^2} \leq \frac{2/3}{(n+1)^3} \quad \text{for } n \geq 1.$$

To estimate  $J_2(n)$ , we write

$$\begin{aligned} J_2(n) &\leq \alpha_n(d) \alpha_{j_0}(d) - \sum_{j=j_0+1}^{\infty} (\alpha_{j-1}(d) - \alpha_j(d))(\alpha_{j-1}(d) + \alpha_j(d)) \\ &= \alpha_n(d) \alpha_{j_0}(d) - \alpha_{j_0}(d)^2. \end{aligned}$$

Combining the estimates for  $J_1(n)$  and  $J_2(n)$ , and as  $j_0 \geq 2n + 1$ , we have

$$\begin{aligned} J(n) &\leq \frac{1}{2} \alpha_n(d)^2 + \frac{2/3}{(n+1)^3} + \alpha_{j_0}(d)(\alpha_n(d) - 2\alpha_{j_0}(d)) \\ &\leq \frac{1}{2} \alpha_n(d)^2 + \frac{2/3}{(n+1)^3} + 2\alpha_{j_0}(d)(\alpha_{j_0-1}(d) - \alpha_{j_0}(d)) \\ &\leq \frac{1}{2} \alpha_n(d)^2 + \frac{2/3}{(n+1)^3} + 2 \frac{1}{(2n+2)^2} \frac{1}{2n+1} \leq \frac{1}{2} \alpha_n(d)^2 + \frac{1}{(n+1)^3}, \end{aligned}$$

which combined with the estimated of  $I(n)$  concludes the proof.  $\blacksquare$

*Remark 4.2.* For most purposes, the slightly easier to prove estimate

$$\|M_n f - f - \alpha_n(d) P(D) f\|_p \leq \frac{1}{2n^2} \|P(D)^2 f\|_p \quad (4.2)$$

is sufficient. In some cases, however, (4.1) yields results which are qualitatively better.

In one result (Theorem 7.2), we need the following extension of (4.2).

**THEOREM 4.3.** *Suppose  $f \in C^{2r+2}(T)$  and  $M_n$ ,  $P(D)$ ,  $T$ , and  $d$  are as given in Section 2. Then*

$$\|(M_n - I)^r f - \alpha_n(d)^r P(D)^r f\|_p \leq \frac{r/2}{n^{r+1}} \|P(D)^{r+1} f\|_p. \quad (4.3)$$

*Proof.* We first observe

$$\begin{aligned} \|M_n f - f - \alpha_n(d) P(D) f\|_p &= \left\| \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} P(D)(M_k f - f) \right\|_p \\ &\leq \left\| \sum_{k=n+1}^{\infty} \frac{1}{k(k+d)} \sum_{j=k+1}^{\infty} \frac{1}{j(j+d)} P(D)^2 M_j f \right\|_p \\ &\leq \sum_{k=n+1}^{\infty} \frac{1}{k(k+1)} \sum_{j=k+1}^{\infty} \frac{1}{j(j+1)} \|P(D)^2 f\|_p \\ &\leq \sum_{k=n+1}^{\infty} \frac{1}{k(k+1)^2} \|P(D)^2 f\|_p \\ &\leq \frac{1}{2} \frac{1}{n^2} \|P(D)^2 f\|_p. \end{aligned}$$

We prove (4.3) by induction. We assume (4.3) for  $r = l$  and write

$$\|(M_n - I)^{l+1} f - \alpha_n(d)^l P(D)^l (M_n - I) f\| \leq \frac{l/2}{n^{l+1}} \|P(D)^{l+1} (M_n - I) f\|.$$

Since we have

$$\|P(D)^{l+1} (M_n - I) f\|_p = \|(M_n - I) P(D)^{l+1} f\|_p \leq \frac{1}{n} \|P(D)^{l+2} f\|_p$$

and since the induction hypothesis for  $l = 1$  implies

$$\|\alpha_n(d)^l (M_n - I) P(D)^l f - \alpha_n(d)^{l+1} P(D)^{l+1} f\|_p \leq \frac{\alpha_n(d)^l}{2n^2} \|P(D)^{l+2} f\|_p,$$

the result follows. ■

## 5. ESTIMATE OF $\|P(D) M_n^r f\|_2$ AND ITS CONSEQUENCE

In this section, we will give an estimate of  $\|P(D) M_n f\|_{L_2(T)}$  and of  $\|P(D) M_n^r f\|_{L_2(T)}$  which will prove useful also for other  $L_p(T)$ .

**THEOREM 5.1.** *Suppose  $f \in L_2(T)$ ,  $M_n f$ ,  $T$  and  $P(D)$  are as defined in Section 2. Then we have  $r = 1, 2, \dots$ ,*

$$\|P(D) M_n^r f\|_{L_2(T)} \leq \frac{n}{\sqrt{r}} \|f\|_{L_2(T)}. \quad (5.1)$$

For the proof we need the following computational lemma.

**LEMMA 5.2.** *For  $\lambda_{n,k}$  given by*

$$\lambda_{n,k} = \frac{(n+d)! n!}{(n+d+k)! (n-k)!}, \quad 0 \leq k \leq n, \quad (5.2)$$

we have

$$k(k+d) \lambda_{n,k}^r \leq n/\sqrt{r}, \quad 0 \leq k \leq n. \quad (5.3)$$

*Proof.* Since  $0 \leq \lambda_{n,k} \leq 1$ , (5.3) follows immediately when  $k(k+d) \leq n/\sqrt{r}$ . To prove (5.3) for  $k$  satisfying  $k(k+d) > n/\sqrt{r}$ , we estimate  $\lambda_{n,k}^r$  using

$$\begin{aligned} \lambda_{n,k}^j &= \left( \frac{(n+d)! n!}{(n+d+k)! (n-k)!} \right)^j = \left( \prod_{i=1}^k \frac{n-k+i}{n+d+i} \right)^j = \prod_{i=1}^k \left( 1 - \frac{d+k}{n+d+i} \right)^j \\ &\leq \left( 1 - \frac{d+k}{n+d+k} \right)^{kj} = \frac{1}{\left( 1 + \frac{d+k}{n} \right)^{kj}} \leq \frac{1}{1+k(k+d)jn^{-1}}. \end{aligned}$$

For  $j=1$ , we have

$$\lambda_{n,k} \leq \frac{n}{n+k(k+d)} \leq \frac{n}{k(k+d)}.$$

For  $k(k+d) \geq n/\sqrt{r}$  and  $j=r-1$ , we have

$$\lambda_{n,k}^{r-1} \leq \frac{1}{1+k(k+d)(r-1)n^{-1}} \leq \frac{1}{1+(r-1)/\sqrt{r}} \leq \frac{1}{\sqrt{r}},$$

and hence,

$$k(k+d) \lambda_{n,k}^r \leq \frac{k(k+d)}{\sqrt{r}} \frac{n}{k(k+d)} \leq \frac{n}{\sqrt{r}}. \quad \blacksquare$$

*Proof of Theorem 5.1.* The eigenspaces of the self adjoint operators  $P(D)f$  and  $M_n f$  are the same (see **B**, (2.5) of [2], and Lemma 2.2 of [2]; see also [4]) and  $f$  can be expanded by

$$f = \sum_{k=0}^{\infty} P_k f,$$

where

$$M_n P_k f = \lambda_{n,k} P_k f \quad \text{and} \quad P(D) P_k f = -k(k+d) P_k f, \quad (5.4)$$

with  $\lambda_{n,k}$  given by (5.2) for  $k \leq n$  and  $\lambda_{n,k} = 0$ ,  $k > n$ . We now have, using Bessel inequality and Parseval formula,

$$\begin{aligned} \|P(D) M_n f\|_{L_2(\mathcal{T})} &= \left\| \sum_{k=1}^n k(k+d) \lambda_{n,k}^r P_k f \right\|_{L_2(\mathcal{T})} \\ &= \left( \sum_{k=1}^n (k(k+d) \lambda_{n,k}^r)^2 \|P_k f\|_{L_2(\mathcal{T})}^2 \right)^{1/2} \\ &\leq \max_k (k(k+d) \lambda_{n,k}^r) \left( \sum_{k=1}^n \|P_k f\|_{L_2(\mathcal{T})}^2 \right)^{1/2} \\ &\leq \frac{n}{\sqrt{r}} \|f\|_{L_2(\mathcal{T})}. \quad \blacksquare \end{aligned}$$

The following estimate for  $\|P(D) M_n f\|_p$  can now be derived.

**COROLLARY 5.3.** For  $1 < p < \infty$  and  $f \in L_p(T)$  and any  $A > 0$ , there exists  $r$ ,  $r = r(A, p, d)$ , such that

$$\|P(D) M_n^r f\|_{L_p(T)} \leq A n \|f\|_{L_p(T)}. \quad (5.5)$$

*Proof.* We recall that Theorem 3.1 implies

$$\|P(D) M_n^r f\|_{L_p(T)} \leq 2 d n \|f\|_{L_p(T)}. \quad (5.6)$$

We now use the Riesz-Thorin interpolation theorem with (5.6) for  $p = \infty$  (or  $p = 1$ ) and (5.1) to obtain (5.5) for  $2 \leq p < \infty$  (or  $1 < p \leq 2$ ). ■

## 6. STRONG CONVERSE INEQUALITIES

In this section, we prove converse inequalities for the Bernstein-Durrmeyer operator. We duplicate some arguments from [8] for the sake of completeness. We define the  $K$ -functional

$$K_r(f, t')_p = \inf_{g \in C^{2r}(T)} (\|f - g\|_p + t' \|P(D)^r g\|_p). \quad (6.1)$$

We note that in this section we are dealing with  $r = 1$ . We recall that

$$A_n \sim B_n \quad \text{iff} \quad C^{-1} A_n \leq B_n \leq C A_n. \quad (6.2)$$

The converse result is given in the following theorem.

**THEOREM 6.1.** Suppose  $P(D)$ ,  $M_n f$  and  $T$  are those given in Section 2 and  $K_1(f, t)_p \equiv K(f, t)_p$  is given by (6.1). Then we have

$$\|M_n f - f\|_p + \|M_{dn} f - f\|_p \sim K(f, 1/n)_p, \quad 1 \leq p \leq \infty, \quad (6.3)$$

and

$$\|M_n f - f\|_p \sim K(f, 1/n)_p, \quad 1 < p < \infty. \quad (6.4)$$

*Remark 6.2.* In the terminology of [8] the results (6.3) and (6.4) are strong converse inequalities of type B and A, respectively. Actually, for  $d = 1$ , (6.3) yields

$$\|M_n f - f\|_p \sim K(f, 1/n)_p \quad \text{for} \quad 1 \leq p \leq \infty,$$

and this type of equivalence is shown for  $d = 2$  and  $d = 3$  as well (see

Theorem 6.3). For  $d > 1$ , (6.3) has an advantage over (6.4) only for  $p = 1$  and  $p = \infty$ .

*Proof.* It was shown in (3.2) of [2] that

$$\|f - M_n f\|_p \leq 2K(f, n^{-1})_p,$$

and hence, we need only estimate  $K(f, 1/n)_p$  by  $\|M_n f - f\|_p + \|M_{nd} f - f\|_p$  or by  $\|M_n f - f\|$  to prove (6.3) and (6.4), respectively. (Of course the conditions are not the same.) We do so by constructing  $g \in C^2(T)$  such that both  $\|f - g\|$  and  $(1/n) \|P(D) g\|$  will satisfy the appropriate estimate. As the  $K$ -functional is given as an infimum on all  $g \in C^2(T)$ , we will have our result. To prove (6.3), we choose

$$g = \frac{1}{2}(M_{nd} M_n^2 f + M_n^2 f).$$

Using the commutativity relation  $M_n M_m = M_m M_n$ , we have

$$\begin{aligned} \|f - \frac{1}{2} M_{nd} M_n^2 f - \frac{1}{2} M_n^2 f\|_p &\leq \frac{1}{2} \|M_{nd} M_n^2 f - f\|_p + \frac{1}{2} \|M_n^2 f - f\|_p \\ &\leq \frac{1}{2} \|M_{nd} f - f\|_p + 2 \|M_n f - f\|_p. \end{aligned}$$

To estimate  $P(D) g$ , we use (4.1) but with  $nd$  rather than  $n$ , that is, we write

$$\begin{aligned} &\left\| M_{nd} \psi - \psi - \frac{\alpha_{dn}(d)}{2} P(D)(M_{nd} \psi + \psi) \right\|_p \\ &\leq \left( \frac{1}{4} \alpha_{dn}(d)^2 + \frac{1}{2(dn+1)^3} \right) \|P(D)^2 \psi\|_p \end{aligned} \quad (6.5)$$

with  $\psi = M_n^2 f$ . We can write using Theorem 3.1

$$\begin{aligned} \|P(D)^2 M_n^2 f\|_p &\leq 2nd \|P(D) M_n f\|_p \\ &\leq 2nd \|P(D)(\frac{1}{2}(M_{nd} M_n^2 f + M_n^2 f))\|_p \\ &\quad + nd[\|P(D)(M_{dn} M_n^2 f - M_n f)\|_p \\ &\quad + \|P(D)(M_n - I) M_n f\|_p] \\ &\leq 2nd \|P(D) g\|_p + (2nd)^2 \|M_n f - f\|_p \\ &\quad + 2n^2 d^2 \|M_{dn} f - f\|_p. \end{aligned}$$

(Recall  $P(D)(M_{dn} M_n^2 f - M_n f) = P(D) M_n(M_n f - f) + P(D) M_n^2(M_{dn} f - f)$ .) We now complete the proof using (6.5) with  $\psi = M_n^2 f$  and the above to write

$$\begin{aligned}
\alpha_{dn}(d) \|P(D) g\|_p &\leq \|M_{dn} M_n^2 f - M_n^2 f\|_p + \left( \frac{1}{4} \alpha_{nd}(d)^2 + \frac{1}{2(dn+1)^3} \right) \\
&\quad \times \|P(D)^2 M_n^2 f\|_p \\
&\leq 2 \|M_{dn} f - f\|_p + 2 \|M_n f - f\|_p + \left( \frac{1}{2} \alpha_{dn}(d) + \frac{1}{(dn+1)^2} \right) \\
&\quad \times \|P(D) g\|_p.
\end{aligned}$$

Since  $1/d(n+1) \leq \alpha_{dn}(d) \leq 1/(dn+1)$ , we have

$$\frac{1}{n} \|P(D) g\|_g \leq 8d(2 \|M_{dn} f - f\|_p + 2 \|M_n f - f\|_p), \quad \text{for } n \geq 3.$$

To prove (6.4) we choose  $g = \frac{1}{2}(M_n^{r+2} f + M_n^{r+1} f)$  with  $r = r(p, d)$  such that (5.5) is satisfied with  $A = 2$  (which is possible for  $1 < p < \infty$  and any  $d$  by Corollary 5.3). Obviously,

$$\|f - g\|_p \leq \frac{1}{2} (\|M_n^{r+2} f - f\|_p + \|M_n^{r+1} f - f\|_p) \leq \frac{1}{2} (2r+3) \|M_n f - f\|_p.$$

To estimate  $(1/n) \|P(D) g\|$ , we use Theorem 4.1 and write

$$\begin{aligned}
&\left\| M_n(M_n^{r+1} f) - M_n^{r+1} f - \frac{\alpha_n(d)}{2} P(D)(M_n^{r+2} f + M_n^{r+1} f) \right\|_p \\
&\leq \left( \frac{1}{4} \alpha_n(d)^2 + \frac{1}{2(n+1)^3} \right) \|P(D)^2 M_n^{r+1} f\|_p
\end{aligned}$$

and

$$\begin{aligned}
\|P(D)^2 M_n^{r+1} f\|_p &\leq 2n \|P(D) M_n f\|_p \\
&\leq 2n \|P(D)(\frac{1}{2} M_n^{r+2} f + \frac{1}{2} M_n^{r+1} f)\|_p \\
&\quad + n \cdot 2dn (\|M_n^{r+1} f - f\|_p + \|M_n^r f - f\|_p) \\
&\leq 2n \|P(D) g\|_p + n^2 2d(2r+1) \|M_n f - f\|_p
\end{aligned}$$

and proceed as before to complete the proof. ■

**THEOREM 6.3.** *Under the assumptions of Theorem 6.1, we have*

$$\|M_n f\|_p \sim K(f, 1/n)_p$$

for  $1 \leq p \leq \infty$  and  $d = 1, 2, 3$ .

*Proof.* Actually, we only have to prove the equivalence for  $p = 1$  and  $p = \infty$  in case  $d = 2$  and  $d = 3$ . We choose  $g = \frac{1}{2}(M_n^4 f + M_n^3 f)$  and use (4.1) to write

$$\left\| M_n \psi - \psi - \frac{\alpha_n(d)}{2} P(D)(M_n \psi + \psi) \right\|_p \leq \left( \frac{1}{4} \alpha_n(d)^2 + \frac{1}{2(n+1)^3} \right) \|P(D)^2 \psi\|_p$$

with  $\psi = M_n^3 f$ . The proof now follows the same lines (see also [8]) using the fact that  $n\alpha_n(d)$  is close to one for  $n \geq n_0$  and using Theorem 3.2 instead of Theorem 3.1. ■

*Remark 6.4.* It would be desirable to prove Theorem 6.3 for all  $d$  and we believe that this result is valid. This would follow from the estimate

$$\|P(D) M_n^r f\|_p \leq \varepsilon(r) n \|f\|_p$$

with  $\varepsilon(r) = o(1)$ ,  $r \rightarrow \infty$ . While we believe this last estimate to be true, we are not able to prove it at present for  $p = 1$  and  $p = \infty$ .

## 7. ITERATIONS

In this section we use the results of the last section to obtain theorems about equivalence to  $K_r(f, t')$ .

**THEOREM 7.1.** *For  $f \in L_p(T)$ ,  $1 < p < \infty$ , or  $f \in L_p(T)$ ,  $\dim T \leq 3$  and  $1 \leq p \leq \infty$ ,*

$$K_r(f, n^{-r})_p \sim \|(M_n - I)^r f\|_p, \quad (7.1)$$

where  $K_r(f, t')_p$  is given by (6.1) and  $M_n$  by (2.1).

*Proof.* The estimate

$$K_r(f, n^{-r})_p \leq C(r) \|(M_n - I)^r f\|_p \quad (7.2)$$

follows from the estimate achieved in Theorems 6.1 and 6.3 and Theorem 10.4 of [8] using the estimate

$$\frac{1}{n} \|P(D)(M_n^l f)\|_p \leq B \|f - M_n f\|_p \quad (7.3)$$

for some  $l$ . We proved for some  $r$

$$\frac{1}{2n} \|P(D)(M_n^{l+1} f + M_n^l f)\|_p \leq B_1 \|f - M_n f\|_p,$$



which implies (7.3) (Equation (7.3) could have been proved directly.) The estimate

$$\|(M_n - I)^r f\|_p \leq B(r) K_r(f, n^{-r})_p \tag{7.4}$$

was shown when proving Theorem 4.1 of [2] and is the easier direction in any case. ■

We can also prove the following result which is of interest only for  $p = 1$  and  $p = \infty$  when  $d > 3$ , as otherwise it is just a special case of Theorem 7.1.

**THEOREM 7.2.** For  $f \in L_p(T)$ ,  $1 \leq p \leq \infty$ , we have

$$K_r(f, n^{-r})_p \sim \max_{0 \leq i \leq r} \|(M_n - I)^{r-i} (M_{nd} - I)^i f\|_p, \quad n \geq n_0, \tag{7.5}$$

and

$$K_r(f, n^{-r})_p \sim \|(M_n - I)^r f\|_p + \|(M_{nm} - I)^r f\|_p, \quad n \geq n_0 \tag{7.6}$$

for some  $m = m(r)$ .

*Remark 7.3.* The advantage of (7.5) is that it is easier to prove (and  $d$  may be smaller than  $m$ ). The advantage of (7.6) is that it yields two terms and hence the iteration is still a strong converse inequality of type B in the terminology of [8]. Moreover,  $M_{nd}$  and  $M_{nm}$  in (7.5) and (7.6) can be replaced by  $M_l$ , with  $nd \leq l \leq nA$  and  $nm \leq l \leq nA$ , respectively.

*Proof of Theorem 7.2.* The direct inequalities in (7.5) and (7.6), that is,

$$\|(M_n - I)^{r-i} (M_{nd} - I)^i f\|_p \leq CK_r(f, n^{-r})_p, \quad 0 \leq i \leq r,$$

and

$$\|(M_{sn} - I)^r f\|_p \leq CK_r(f, n^{-r})_p, \quad s = 1, m,$$

follows from earlier results (see for instance the proof of Theorem 4.1 in [2]). For the proof of (7.5) we have to show

$$K_r(f, n^{-r})_p \leq B \max_{0 \leq i \leq r} \|(M_n - I)^{r-i} (M_{nd} - I)^i f\|_p. \tag{7.7}$$

To obtain (7.7) we choose  $g$  as

$$g \equiv O_{n,r} f = \sum_{s=1}^r (-1)^{s-1} \binom{r}{s} O_n^s f, \tag{7.8}$$

$$O_n f \equiv \frac{1}{2} (M_{nd} M_n^2 + M_n^2) f.$$

We estimate  $\|f - g\|_p$  by

$$\begin{aligned} \|f - g\|_p &= \|f - O_{n,r} f\|_p = \|(O_n^r - I)^r f\|_p \leq r^r \|(O_n - I)^r f\|_p \\ &\leq Ar^r \max_{0 \leq i \leq r} \|(M_n - I)^{r-i} (M_{nd} - I)^i\|_p. \end{aligned}$$

To complete the proof of (7.7) we estimate  $n^{-r} \|P(D)^r g\|_p$  by

$$\begin{aligned} n^{-r} \|P(D)^r g\|_p &= n^{-r} \|P(D)^r O_{n,r} f\|_p \leq 2^r n^{-r} \max_{1 \leq s \leq r} \|P(D)^r O_n^s f\|_p \\ &\leq 2^r n^{-r} \|P(D)^r O_n^r f\|_p \\ &\leq A n^{-r+1} (\|P(D)^{r-1} O_n^{r-1} (M_n - I) f\|_p \\ &\quad + \|P(D)^{r-1} O_n^{r-1} (M_{nd} - I) f\|_p) \\ &\leq \dots \leq B \max_{0 \leq i \leq r} \|(M_n - I)^{r-i} (M_{nd} - I)^i f\|_p. \end{aligned}$$

To prove (7.6) it remains to show that for some integer  $m$  we have

$$K_r(f, n^{-r})_p \leq B(\|(M_n - I)^r f\|_p + \|(M_{nm} - I)^r f\|_p). \quad (7.9)$$

We postpone the choice of  $m$  and choose  $g$  as

$$g = \sum_{s=1}^r (-1)^{s+1} \binom{r}{s} M_n^{(r+1)s} f. \quad (7.10)$$

The estimate of  $\|f - g\|_p$  is given by

$$\|f - g\|_p = \|(M_n^{r+1} - I)^r f\|_p \leq (r+1)^r \|(M_n - I)^r f\|_p.$$

To estimate  $n^{-r} \|P(D)^r g\|_p$  we write

$$\begin{aligned} n^{-r} \|P(D)^r g\|_p &\leq n^{-r} 2^r \sup_{1 \leq s \leq r} \|P(D)^r M_n^{(r+1)s} f\|_p \\ &\leq n^{-r} 2^r \|P(D)^r M_n^{r+1} f\|_p, \end{aligned}$$

and hence it is sufficient to estimate  $n^{-r} \|P(D)^r M_n^{r+1} f\|_p$ . Using Theorem 4.3 with  $mn$  replacing  $n$ , and  $m$  chosen so that  $2r d 2^r \leq m$ , we have

$$\begin{aligned} &\|(M_{nm} - I)^r M_n^{r+1} f - \alpha_{nm}(d)^r P(D)^r M_n^{r+1} f\|_p \\ &\leq \frac{r}{2(nm)^{r+1}} \|P(D)^{r+1} M_n^{r+1} f\|_p \\ &\leq \frac{r d}{m} \frac{1}{(mn)^r} \|P(D)^r M_n^r f\|_p \\ &\leq \frac{r d}{m} \frac{1}{(mn)^r} \|P(D)^r M_n^r (M_n - I)^r f\|_p \\ &\quad + \frac{r d}{m} \frac{1}{(mn)^r} \sum_{s=1}^r \binom{r}{s} \|P(D)^r M_n^{r+s} f\|_p \\ &\leq \frac{r d}{m} \frac{2^r}{(mn)^r} \|P(D)^r M_n^{r+1} f\|_p + \frac{r}{2} \left(\frac{2d}{m}\right)^{r+1} \|(M_n - I)^r f\|_p. \end{aligned}$$

Since  $r d 2^r/m \leq 1/2$ , we complete the proof writing

$$\begin{aligned} \alpha_{nm}(d)^r \|P(D)^r M_n^{r+1} f\|_p &\leq \frac{1}{2} \frac{1}{(nm)^r} \|P(D)^r M_n^{r+1} f\|_p \\ &\quad + \|(M_{nm} - I)^r f\|_p + \frac{r}{2} \left(\frac{2d}{m}\right)^{r+1} \|(M_n - I)^r f\|_p \end{aligned}$$

and recalling  $\alpha_{nm}(d)^r = (1/nm)^r + O(n^{-r-1})$ . ■

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